

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Gunzburg and Saller

Group Art Unit: 1631

U.S. Patent No. 7,531,353

Examiner: Brusca, John S.

Serial No.: 08/808,827

Docket No.: 1406/194

Filed: February 28, 1997

Confirmation No.: 6837

For: NON SELF-INACTIVATING, EXPRESSION TARGETED RETROVIRAL
VECTORS

REQUEST FOR CERTIFICATE OF CORRECTION OF PATENT

Mail Stop PETITIONS
Commissioner for Patents
Post Office Box 1450
Alexandria, VA 22313-1450

Sir:

Please find attached a Certificate of Correction, Form PTO/SB/44, in connection with the above-captioned U.S. Patent No. 7,531,353, which issued on May 12, 2009.

This request is made under 37 C.F.R. § 3.81(b). The assignee had recently changed its name at the time of allowance and issue fee payment, and had not communicated this to the attorney of record as of the time of the issue fee payment. As such, the former name was erroneously and *inadvertently* submitted with issue fee payment. However, the name change document was recorded on May 5, 2009, before issuance of the patent, as set forth in 37 C.F.R. § 3.11. The Notice of Recordation of Assignment document is attached herewith, including the following information:

Recordation Date: 05/05/2009 Reel/Frame: 022665/0713

Serial No.: 08/808,827

The former name was GSF-Forschungszentrum fuer Umwelt und Gesundheit GmbH.

The new name is as follows:

Helmholtz Zentrum München Deutsches Forschungszentrum für Gesundheit und Umwelt (GmbH)

It is noted that inadvertent submission of the former name of assignee for this patent occurred in good faith and does not involve such changes in the patent as would constitute new matter or would require re-examination. If this request under 37 C.F.R. § 3.81(b) is granted, we further request that the file be forwarded to the Certificates of Correction Branch for issuance of a Certificate of Correction under 37 C.F.R. § 1.323.

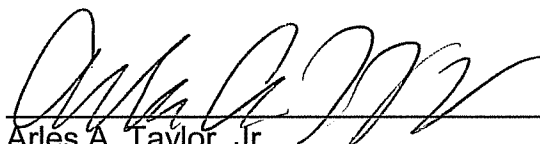
The Commissioner is hereby authorized to charge the processing fee set forth in 37 C.F.R. 1.117(h) of \$130 to Deposit Account No. 50-0426. Although it is believed that no further fees are due, the Commissioner is also hereby authorized to charge any deficiencies of payment, or credit any overpayment associated with the filing of this request or the Certificate of Correction to the above Deposit Account.

Respectfully submitted,

JENKINS, WILSON, TAYLOR & HUNT, P.A.

Date: 05/21/2009

By:


Arles A. Taylor, Jr.
Registration No. 39,395
Customer No. 25297

1406/194

AAT/AWD/cam

Enclosures

**UNITED STATES PATENT AND TRADEMARK OFFICE
CERTIFICATE OF CORRECTION**Page 1 of 1

PATENT NO. : 7,531,353
APPLICATION NO. : 08/808,827
ISSUE DATE : May 12, 2009
INVENTOR(S) : Gunzburg and Saller

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On title page, item 73 Assignee

replace "GSF-Forschungszentrum fuer Umwelt und Gesundheit GmbH"
with – Helmholtz Zentrum München Deutsches Forschungszentrum für Gesundheit und Umwelt (GmbH) --.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

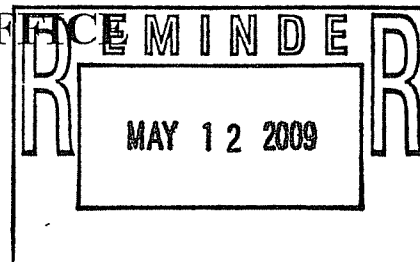
Arles A. Taylor, Jr.
Jenkins, Wilson, Taylor & Hunt, P.A.
Suite 1200 University Tower
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Durham, NC 27703

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



ARLES A. TAYLOR, JR. CC ANY: JENKINS, WILSON, TAYLOR & HUNT, P.A.

UNITED STATES PATENT AND
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Facsimile Transmission

To: Name: ARLES A. TAYLOR, JR.
Company: JENKINS, WILSON, TAYLOR & HUNT, P.A.
Fax Number: 19194190383
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From: Name: ASSIGNMENT SERVICES BRANCH
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37 C.F.R. 1.6 sets forth the types of correspondence that can be communicated to the Patent and Trademark Office via facsimile transmissions. Applicants are advised to use the certificate of facsimile transmission procedures when submitting a reply to a non-final or final Office action by facsimile (37 CFR 1.8(a)).

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| 4 | Document 1, Batch 1656679 |

DOCKET DATES: NA
ASSIGNED ATTY: AAT
FILE NO. 1406/194
DOCKETED BY: CAB DATE: 5/12/09

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Date and time of transmission: Monday, May 11, 2009 6:59:42 PM
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CHARLES A. TAYLOR, JR. CC ANY: JENKINS, WILSON, TAYLOR & HUNT, P.A.

**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE



MAY 11, 2009

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PTAS

CHARLES A. TAYLOR, JR.
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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES BRANCH, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 05/05/2009

REEL/FRAME: 022665/0713

NUMBER OF PAGES: 6

BRIEF: CHANGE OF NAME (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

GSF-FORSCHUNGSZENTRUM FUER UMWELT
UND GESUNDHEIT GMBH

DOC DATE: 12/14/2007

ASSIGNEE:

HELMHOLTZ ZENTRUM MUNCHEN
DEUTSCHES FORSCHUNGSZENTRUM FUR
GESUNDHEIT UND UMWELT (GMBH)
INGOLSTADTER LANDSTRASSE 1
NEUHERBERG, GERMANY D-85764

SERIAL NUMBER: 08808827

FILING DATE: 02/28/1997

PATENT NUMBER: 7531353

ISSUE DATE: 05/12/2009

TITLE: NON SELF-INACTIVATING, EXPRESSION TARGETED RETROVIRAL VECTORS

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022665/0713 PAGE 2

PAULA MCCRAY-STANLEY, EXAMINER
ASSIGNMENT SERVICES BRANCH
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CHARLES A. TAYLOR, JR. C/PANY: JENKINS, WILSON, TAYLOR & HUNT, P.A.

MAY. 8. 2009 3:47PM

JENKINS, WILSON & TAYLOR

NO. 4657 P. 1

Form PTO-1595 (Rev. 03/05)

OMB No. 0851-0027 (exp. 6/30/2005)

05/08/2009
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United States Patent and Trademark Office

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|---|--|
| To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below. | |
| 1. Name of conveying party(ies) <u>GSF-Forschungszentrum fuer Umwelt und Gesundheit GmbH</u> Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | 2. Name and address of receiving party(ies) Name: <u>Helmholtz Zentrum München</u> <u>Deutsches Forschungszentrum für</u> <u>Gesundheit und Umwelt (GmbH)</u> Street Address: <u>Ingolstädter Landstrasse 1</u> City: <u>Neuherberg</u> State: _____ Country: <u>Germany</u> Zip: <u>D-85764</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No |
| 3. Nature of conveyance/Execution Date(s): Execution Date(s): <u>12/14/2007</u> <input type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input checked="" type="checkbox"/> Change of Name <input type="checkbox"/> Joint Research Agreement <input type="checkbox"/> Government Interest Assignment <input type="checkbox"/> Executive Order 9424, Confirmatory License <input type="checkbox"/> Other _____ | |
| 4. Application or patent number(s): <input type="checkbox"/> This document is being filed together with a new application. A. Patent Application No.(s) <u>08/808,827</u> B. Patent No.(s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | |
| 5. Name and address to whom correspondence concerning document should be mailed: Name: <u>Arles A. Taylor, Jr.</u> <u>Jenkins, Wilson, Taylor & Hunt, P.A.</u> Internal Address: _____ Street Address: <u>Suite 1200, University Tower</u> <u>3100 Tower Boulevard</u> City: <u>Durham</u> State: <u>NC</u> Zip: <u>27707</u> Phone Number: <u>919-493-8000</u> Fax Number: <u>919-419-0383</u> Email Address: <u>ataylor@jwth.com</u> | 6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 1.21(h) & 3.41) \$ <u>40.00</u> <input type="checkbox"/> Authorized to be charged by credit card <input checked="" type="checkbox"/> Authorized to be charged to deposit account <input type="checkbox"/> Enclosed <input type="checkbox"/> None required (government interest not affecting title) |
| 8. Payment Information a. Credit Card Last 4 Numbers _____ Expiration Date _____ b. Deposit Account Number <u>50-0426</u> Authorized User Name <u>JENKINS, WILSON, TAYLOR & HUNT, P.A.</u> | |
| 9. Signature: <u>Arles A. Taylor, Jr.</u> <u>May 8, 2009</u> Signature Date Name of Person Signing | |
| Total number of pages including cover sheet, attachments, and documents: <u>7</u> | |

CH \$40.00 500426 08608627